

~~Periodicity in hyperspaces: Almost totally~~  
~~basic systems~~

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joint work w/ C. Good & L. Fernández  $\rightarrow$  published in ETDS

**I** Periodicity in hyperspaces  
 $(X, f)$   
 $X$  comp metric  
 $f: X \rightarrow X$  cont map  $\rightarrow$  study iterations of  $f$   
 $x, f(x), f^2(x), \dots$

$2^X$  - hyperspace of comp, nonempty sets of  $X$

$\hookrightarrow$  Also comp  $\rightarrow$  Hausdorff distance

$$d_H(A, B) = \inf \{ \epsilon \mid N(A, \epsilon) \supseteq B \text{ and } N(B, \epsilon) \supseteq A \}$$

$2^f$  - induced map  $2^X$   $2^f(S) = f(S) = \{ f(x) \mid x \in S \}$

$2^f$  is cont map

$(2^X, 2^f)$  induced system

What can be said <sup>dynamically</sup> about this induced system; periodic pts

$$\text{Per}(f) = \{ n \in \mathbb{N} \mid \exists x \in X \text{ with fundamental period } n \}$$

$$\text{Per}(2^f) = \{ n \in \mathbb{N} \mid \exists S \subseteq X \text{ with } f^n(S) = S \text{ \& } f^k(S) \neq S \text{ for } 0 < k < n \}$$

Observation:

$$\text{Per}(2^f) \supseteq \text{Per}(f)$$

$$1 \in \text{Per}(2^f)$$

$$\text{if } \text{Per}(x) = n \text{ (in } X) \Rightarrow \text{Per}(\{x\}) = n \text{ (in } 2^X)$$

$$\text{Per}(\{x\}) = 1 \text{ (in } 2^X)$$



$X = \{a, b, c, d, e\}$

$$\text{Per}(f) = \{2, 3\}$$

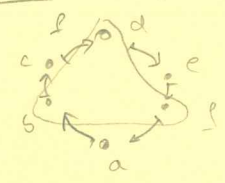
$$\text{Per}(2^f) = \{1, 2, 3, 6\}$$

$$\text{Per}(\{c, d\}) = 6$$

More generally if  $A \cap B = \emptyset$   $\text{Per}(A \cup B) = [\text{Per}(A), \text{Per}(B)]$   
 the least common multiple

$$\text{Per}(2^f) \supseteq [\text{Per}(f)]$$

Ex 2  $X = \{a, b, c, d, e, f\}$



$$\text{Per}(f) = \{6\}$$

$$\text{Per}(2^f) = \{1, 2, 3, 6\}$$

$\{b, d, f\} \rightarrow \{a, d\}$

$$\text{Per}(2^f) \supseteq [\mathcal{D}(\text{Per}(f))] = \mathcal{D}([\text{Per}(f)])$$

closed divisors

In a sense, this is the best we can do as for each  $S \subseteq \mathbb{N}$  there is a system  $(X, f)$  with  $\text{Per}(f) = S$  and  $\text{Per}(2^f) = [\mathcal{D}(S)]$ .

How? If  $S$  finite  $\rightarrow$  take a disjoint union of cycles, one for each  $n \in S$

$$\{ \dots, n_1, n_2, \dots \} \text{ done } \checkmark$$

If  $S$  infinite  $\rightarrow$  same but add one fixed pt to compactify the space



This adds 1 to  $\text{Per}(f) = S$   
 $\rightarrow$  if we wish to avoid this compactify with an irrational rotation

However, this still doesn't provide the full description of all subsets of  $\mathbb{N}$  that can be  $\text{Per}(2^f)$ .

How is there  $(X, f)$  with  $\text{Per}(f) = \emptyset$ ,  $\text{Per}(2^f) = \mathbb{N}$

Is there  $(X, f)$  st.  $\text{Per}(2^f) = \{1, 2, 3\}$ ? (\*)

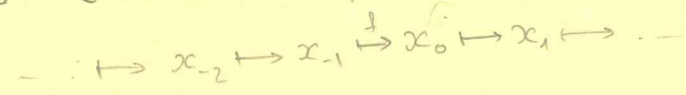
Digression: What is the role of topology?

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If we disregard the topology (\*) cannot happen.  
 ↳ allow all n-c subsets of X

Indeed, there are only 2 scenarios

1<sup>st</sup>  $\exists x \in X$  with infinite bound orbit



$\forall k \in \mathbb{N} \quad \text{Per}(\{x_{-k}, x_{-k+1}, \dots, x_0, x_1, \dots, x_k\}) = \mathbb{Z} \quad (\text{"}2^k\text{"})$

2<sup>nd</sup> all pts are periodic in  $f$   $\text{Per}(f^k) = \mathbb{N}$   $\left[ \begin{matrix} \infty \text{ fixed orbit} \Rightarrow \infty \text{ bound orbit} \\ \text{but then } \text{Per}(f^k) = [\mathcal{D}(\text{Per}(f))] \text{ (again 1, 2, 3) not possible} \end{matrix} \right]$

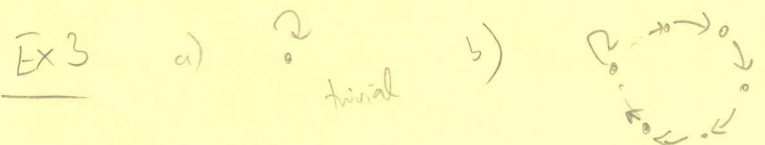
no there's 3<sup>rd</sup> case  $(\mathbb{N} \text{ orbits}) \rightarrow$  not a problem if  $f$  surjective which we can assume wlog

Having allowed only compact sets, one needs to take closure in 1<sup>st</sup> case which means that the  $\{x_k\}$  has dense orbit we get  $\text{Per}(X) = \mathbb{1}$   
 ↳ w- and d- limit sets play a role

# II Almost (totally) minimal systems

Def: An invertible system  $(X, f)$  is almost minimal if

- (i)  $\exists! x_0 \in X$  st  $f(x_0) = x_0$  (unique fixed pt)
- (ii)  $\forall x \in X \setminus \{x_0\} \quad \overline{\{f^k(x) \mid k \in \mathbb{Z}\}} = X$  (full orbit of every other pt. is dense in X)



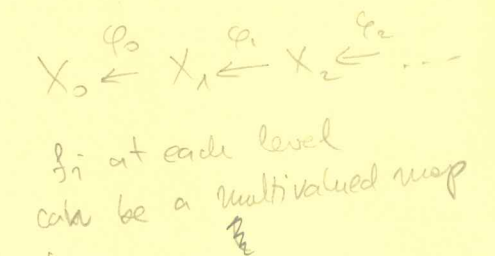
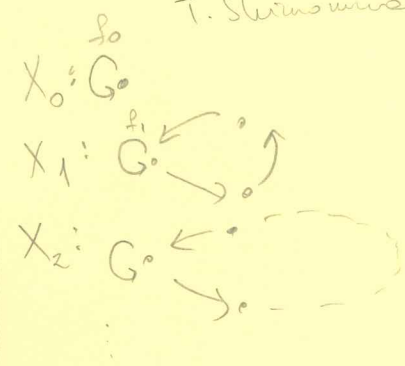
Def: An invertible system  $(X, f)$  is almost totally minimal if

- (i)  $\exists! x_0 \in X$  st  $f(x_0) = x_0$
- (ii)  $(\forall x \in X \setminus \{x_0\}) (\forall n \in \mathbb{N}) \quad \overline{\{f^k(x) \mid k \in \mathbb{Z}\}} = X$

Examples? Not obvious that such a system (non-trivial) exists!

Thm 1 There exists a homeo of the Cantor set which is ATM!

Idea: Construction using inverse limit of directed finite graphs  
 (H. Gambaudo & M. Martens, F. Przytycki, E. Glasner, D. Uspenskiy, T. Shub, M. Misiurewicz)



but as long as, say,  $f_{i-1}(\text{set of values of } f_i(x)) \in X_{i-1}$  is a well defined pt

Give obtain a well defined map  $f: \varprojlim (X_i, f_i) \rightarrow \varprojlim (X_i, f_i)$

Choosing length of big loops carefully and also  $f_i$ s one can control trajectories of  $f$  enough to obtain ATM.

I like to think of Thm 1 as saying that there is this "wild" dynamics that "hides" one fixed pt.

Q Can we "hide" more than a fixed pt?



Theorem 2 Let  $(Y, g)$  be a minimal 0-dim (= tot disc. comp metr)

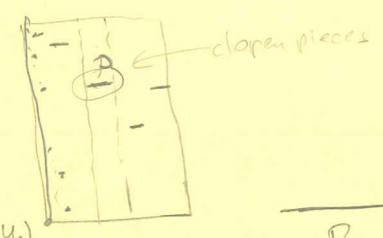
Then  $\exists (\hat{Y}, \hat{g})$  s.t.  $Y \hookrightarrow \hat{Y}$  a cont. embedding s.t.  
 $(\forall y \in Y \ \omega_g(y) = \bigcap_{k \in \mathbb{N}} \hat{g}^k(y) = Y)$

- (i)  $\hat{g}|_Y = g$
- (ii)  $Y \subseteq \hat{Y}$  is nowhere dense
- (iii)  $(\forall y \in \hat{Y} \setminus Y) (\forall n \in \mathbb{N})$

$$\bigcap_{k \in \mathbb{N}} \hat{g}^k(\{y\} | \{c \in \mathbb{Z}\}) = \hat{Y}$$

Clearly  $g|_{\{x_0\} \times Y} = g$  (i) ✓

(ii) follows from (iii)



Now for (iii) note that  $\bigcup_{k \in \mathbb{N}} \hat{g}^k(B \times \{y_0\})$  is open & dense in  $\hat{Y}$  and  $\pi_X$  is injective on it.

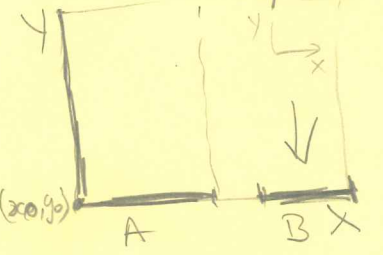
$\implies$  for each piece  $P$  no other point from  $\hat{Y}$  intersects the strip  $\pi_X^{-1}(\pi_X(P))$

We wish to show that  $\forall (x, y) \in \hat{Y}$  with  $x \neq x_0$   
 $\exists$  but by Thm 1  $\{f^{nk}(x) | k \in \mathbb{Z}\} = X$  and so  $\pi_X(S) = X$  using semi-conjugacy argument.  
 But almost everywhere injectivity of  $\pi_X$  implies that  $S = \hat{Y}$  (iii) ✓

Pf: Let  $(X, f)$  be ~~Note (iii) (iii)~~

Let  $(X, f)$  be ATM from Thm 1.

Take  $(X \times Y, f \times g)$



- Dynamics on  $\{x_0\} \times Y$  is precisely  $g$ .
- But no mixing in  $Y$ -direction
- split  $X$  in two disjoint clopen sets  $X = A \cup B$

let  $\pi_B: X \times Y \rightarrow X \times Y$  be a projection  
 $(x, y) \mapsto \begin{cases} (x, y) & \text{if } x \in A \\ (x, y_0) & \text{if } x \in B \end{cases}$

Let  $\hat{g} = \pi_B \circ (f \times g)$

$$\hat{X} = \bigcup_{k=0}^{\infty} \hat{g}^k(B \times \{y_0\})$$

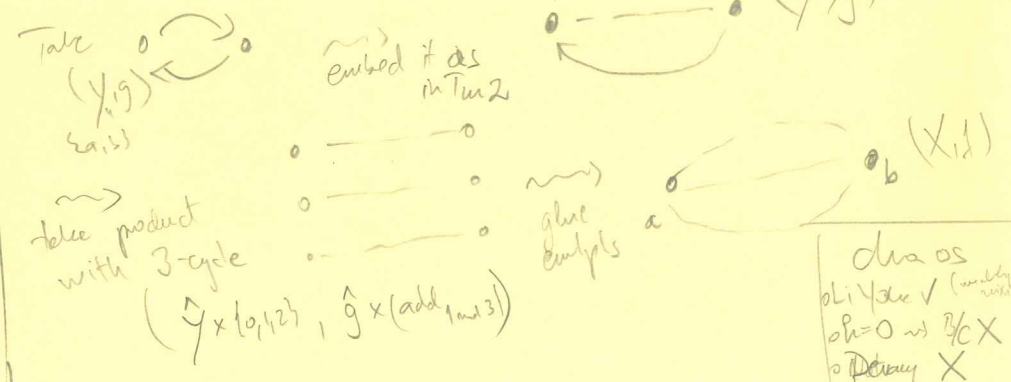
We claim that this works

(i) Why is  $\{x_0\} \times Y \in \hat{X}$ ?

Because  $\pi_X: X \times Y \rightarrow X$   
 $\implies \pi_X(\hat{X}) = X \implies \exists y \in Y$  s.t.  $(x_0, y) \in \hat{X}$

$\times$  semi-conjugates  $(\hat{X}, \hat{g})$  and  $(X, f)$   
 minimality  $\{x_0\} \times Y \in \hat{X}$

Determining  $\text{Per}(f) = \{1, 2, 3\}$



also as  $\text{Per}(f) = \{1, 2, 3\}$   
 $\text{Per}(g) = \{0\}$  or  $\{1, 2, 3\}$   
 $\text{Per}(f \times g) = \{1, 2, 3\}$   
 (unstable)

Claim:  $\text{Per}(1) = \{2\}$

$\text{Per}(2^+) = \{1, 2, 3\}$

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Let  $S \subseteq X$  <sup>empt, n-c</sup> if  $S \subseteq \{a, b\}$  then  $\text{Per}(S) = 1$  or  $2$

Else,  $\exists x \in X \setminus \{a, b\} \cap S$ . Assume that  $\text{Per}(S) = n$

But then  $\bigcup_{k=0}^{\infty} f^k(x) \subseteq S$

the "whole line" in which  $x$  happens to be

$\Rightarrow$  analogously we can conclude  $S = \text{union of some lines}$   
 $\Rightarrow \text{Per}(S) = 1$  or  $3$   
 $S = \text{all } 3 \text{ lines}$   $\uparrow$   $\uparrow$   $\text{only } 1 \text{ or } 2$

□